### RELATIONSHIPS BETWEEN THE PERMANENTS OF A CERTAIN TYPE OF *k*-TRIDIAGONAL SYMMETRIC TOEPLITZ MATRIX AND THE CHEBYSHEV POLYNOMIALS

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**Abstract.** In this study, the recursive relations between the permanents of a certain type of the *k*-tridiagonal symmetric Toeplitz matrix with complex entries and the Chebyshev polynomials of the second kind are presented.

MSC 2010: 33C45 Keywords: permanent, Toeplitz matrix, k-tridiagonal matrix, Chebyshev polynomials, recurrence relation

### 1. Introduction

A k-tridiagonal symmetric Toeplitz matrix can be written in the form

$$T_n^{(k)} = \left[ t_{ij} \right]_{n \times n}$$

where

$$t_{ij} = \begin{cases} a, & i = j \\ b, & |i - j| = k \\ 0, & otherwise. \end{cases}$$
(1)

The Toeplitz structured tridiagonal matrix family can be seen with many studies in different areas. Some of them include the solution of ordinary and partial differential equations [1] in the applied mathematics, including the equilibrium problem [2] in the statistical physic, wireless and sensor network applications [3, 4] about control theory in computer sciences and the sensitivity of MRNA [5] in molecular biology. The aim of this study is to point out some relationships between the permanents of the certain type of *k*-tridiagonal symmetric Toeplitz matrix and the Chebyshev polynomials of the second kind. The definition of the *k*-tridiagonal symmetric Toeplitz matrix that is used in this study is given below.

**Definition:** Let the *k*-tridiagonal symmetric Toeplitz matrix  $T_n^{(k)}$  of order *n* with first row be  $\{2x \ 0 \ \cdots \ 0 \ i \ 0 \ \cdots \ 0\}$ 

		0		0		0			o <sup>–</sup>
	2x	0							
	0	2x	0		0	i	0		0
	÷	0							
	0				·.		·.	·.	0
$T_n^{(k)} =$	i	0		0	2 <i>x</i>	0		0	i
		i	••.		·.	·	·.	÷	0
	÷	0	•.	·.		·.	••.	0	÷
	:	÷	•.	·.	0	•••	0	2 <i>x</i>	0
	0	0		0	i	0		0	2x

 $i^2 = -1$ , *n* and *k* are positive integers such that  $1 \le k \le n$ .

The matrix  $T_n^{(k)}$  was pronounced as the tridiagonal instead of the *k*-tridiagonal throughout in this study. Also, it is worth noting that if k = 2 and k = 3 then the  $T_n^{(2)}$  and  $T_n^{(3)}$  matrices convert a pentadiagonal and heptadiagonal matrix respectively. But, this nomenclature for k = 2,3 was not used in this study.

In the literature, much research has been gathered on the relationships between the permanent or determinant of some tridiagonal or Toeplitz matrices and the famous number sequences or the linear recurrences. For example, Minc [6] gave a recursive formula for the permanent of a general tridiagonal Toeplitz matrix. Lehmer [7] demonstrated some generalizations for the permanent of a tridiagonal matrix by using expansion by minors. Kılıc and Tascı [8] presented some relationships between the permanents of some tridiagonal matrices and some famous number sequences. Jina and Trojovsky [9] presented new results about the relationships between the permanents of some tridiagonal matrices and the Fibonacci numbers. Matousova and Trojovsky [10] showed a generalization for sequences of tridiagonal matrices which is related to the sequence of Fibonacci numbers. Kaygisiz and Sahin [11] obtained the permanents of some tridiagonal matrices with complex elements in terms of k sequences of generalized order-k Fibonacci numbers. Kırklar and Yılmaz [12] gave an important representation for the permanent of a k-tridiagonal k-Toeplitz matrix by using the direct sum of the matrices. Yilmaz and Bozkurt [13] gave one type of tridiagonal matrix whose permanents are Jacobsthal numbers. Of course, the studies on the determinants of special matrices

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take more space than on the permanents of these matrices. We focused on the few that are closest to our study. Elouafi [14] gave the formulas which involve determinants whose entries are the Chebyshev polynomials for a banded symmetric Toeplitz matrix. Cahill et al. [15] showed some special tridiagonal matrices whose determinant gives the Chebyshev polynomials. Kılıç [16] presented the two different representations depending on the parity of n for the permanent of general 2-tridiagonal Toeplitz matrix that emblematized this matrix with H(a,b,-c). Asci, Tasci and Al-Mikkawy [17] gave algorithms for permanents of k-tridiagonal matrices using LU factorization. In Trench [18] gave a recursive relation for the eigenvalues of a 2-tridiagonal Toeplitz matrix. Bergun and Hoggatt [19] gave the recursive relations among the determinants of a general tridiagonal, 2-tridiagonal and 3-tridiagonal Toeplitz matrix and also gave a representation for the determinant of the general k-tridiagonal Toeplitz matrix with respect to the determinant of the tridiagonal Toeplitz matrix. Our study focuses on the relationships between the permanents of a certain type of k-tridiagonal symmetric Toeplitz matrix with complex entries and the Chebyshev polynomials of the second kind.

Chebyshev polynomials being a useful mathematical tool, are often utilized in the mathematics as well as other fundamental science and the engineering. Chebyshev polynomials of the second kind is a polynomial of degree n in x defined in [20] by

$$U_n(x) = \frac{\sin(n+1)\theta}{\sin\theta}$$

where  $x = \cos \theta$ . The family of the Chebyshev polynomial of the second kind  $\{U_n(x)\}$  satisfies the recurrence relation

$$U_n(x) = 2xU_{n-1}(x) - U_{n-2}(x)$$
(3)

where  $U_{-1}(x) = 0$ ,  $U_0(x) = 1$ ,  $U_1(x) = 2x$ . We have referred to Chebyshev polynomials of the second kind as Chebyshev U polynomials throughout in this study. The first few Chebyshev U polynomials are

$$U_{0}(x) = 1$$

$$U_{1}(x) = 2x$$

$$U_{2}(x) = -1 + 4x^{2}$$

$$U_{3}(x) = -4x + 8x^{3}$$

$$U_{4}(x) = 1 - 12x^{2} + 16x^{4}$$

$$U_{5}(x) = 6x - 32x^{3} + 32x^{5}$$

$$U_{6}(x) = -1 + 24x^{2} - 80x^{4} + 64x^{6}.$$
(4)

Let  $S_n$  be the symmetric group which consist of all permutations of  $\{1, 2, 3, ..., n\}$  and  $\sigma$  be the element of this group where  $\sigma = (\sigma_1, \sigma_2, ..., \sigma_n)$ . Then, the permanent of an  $n \times n$  square matrix A is defined by

$$Per(A) = \sum_{\sigma \in S_n} \prod_{i=1}^n a_{i,\sigma_i}.$$
 (5)

The permanent is a function of the matrix elements such as the determinant without using signs for the permutations. For this reason, some operations that are applied on the determinant can also be used on the permanent. The Laplace expansion is one of these operations. We note that all of the signs used in the Laplace expansion of minors are positive. It should be noted that we used the Laplace expansion for the permanent throughout in this study. Despite gaining more prominence in the recent studies on the permanent such as in [12], the *contraction* method, which is given by Brualdi and Gibson [5], doesn't work for the permanent of a complex matrix.

### 2. Main results

## 2.1. Relationships between $Per(T_n^{(k)})$ and the Chebyshev U polynomial

**Theorem 1:** The permanent of the matrix  $T_n^{(1)}$  is

$$Per\left(T_n^{(1)}\right) = U_n(x) \tag{6}$$

where  $U_n(x)$  is the *n*th Chebyshev U polynomials and  $Per(T_0^{(1)}) = 1$ .

**Proof:** We will use mathematical induction method in this proof. For n = 3

$$Per(T_{3}^{(1)}) = Per\begin{bmatrix} 2x & i & 0\\ i & 2x & i\\ 0 & i & 2x \end{bmatrix}_{3\times 3} = -4x + 8x^{3} = U_{3}(x)$$

Thus (6) is provided. Assume that the equality (6) holds for n. So, we have

$$Per(T_{n}^{(1)}) = Per\begin{bmatrix} 2x & i & & 0\\ i & 2x & i & & \\ & \ddots & \ddots & \ddots & \\ & & i & 2x & i\\ 0 & & & i & 2x \end{bmatrix}_{n \times n} = U_{n}(x).$$
(7)

We will now show that equality (6) holds for n+1, namely

$$Per(T_{n+1}^{(1)}) = U_{n+1}(x).$$
(8)

Firstly, we expand the  $Per(T_{n+1}^{(1)})$  by using the Laplace expansion according to the first row of  $T_{n+1}^{(1)}$ . Then, we obtain

$$Per(T_{n+1}^{(1)}) = 2xPer\begin{bmatrix} 2x & i & & & \\ i & 2x & i & & \\ & \ddots & \ddots & \ddots & \\ & & i & 2x & i \\ 0 & & & i & 2x \end{bmatrix}_{n \times n} + iPer\begin{bmatrix} i & i & & 0 \\ 0 & 2x & i & & \\ 0 & i & 2x & i & \\ & & \ddots & \ddots & \ddots \\ 0 & & & i & 2x \end{bmatrix}_{n \times n}$$

Secondly, we expand the permanent which appears in the second term of the right hand side of the above equation with respect to its first column. So, we obtain

$$Per(T_{k+1}^{(1)}) = 2xU_{k}(x) - Per(T_{k-1}^{(1)})$$
  
=  $2xU_{k}(x) - U_{k-1}(x)$   
=  $U_{k+1}(x)$  (9)

which is desired.

**Theorem 2:** The permanent of the matrix  $T_n^{(2)}$  is

$$Per(T_n^{(2)}) = \sum_{r=0}^{\left\lfloor \frac{n+1}{2} \right\rfloor} U_{n-2r}(x)$$
(10)

where  $U_n(x)$  is the *n*th Chebyshev U polynomials and  $U_{-1}(x) = 0$ .

**Proof:** We will use the mathematical induction method for the proof. Suppose that n = 5. Then

$$Per(T_{5}^{(2)}) = Per\begin{bmatrix} 2x & 0 & i & 0 & 0\\ 0 & 2x & 0 & i & 0\\ i & 0 & 2x & 0 & i\\ 0 & i & 0 & 2x & 0\\ 0 & 0 & i & 0 & 2x \end{bmatrix}_{5x5} = U_{5} + U_{3} + U_{1} + U_{-1}$$
$$= \sum_{r=0}^{3} U_{5-2r}(x).$$

Thus, the equality (10) is true for n = 5. Assume that the equality (10) holds for n, i.e.

$$Per(T_{n}^{(2)}) = Per\begin{bmatrix} 2x & 0 & i & & & 0\\ 0 & 2x & 0 & i & & & \\ i & 0 & 2x & 0 & i & & \\ & \ddots & \ddots & \ddots & \ddots & \ddots & \\ & & i & 0 & 2x & 0 & i \\ & & & i & 0 & 2x & 0 \\ 0 & & & & i & 0 & 2x \end{bmatrix}_{nxn} = \sum_{r=0}^{\left\lfloor \frac{n+1}{2} \right\rfloor} U_{n-2r}(x) . \quad (11)$$

We will now show that the equality (10) is also true for n+1, namely

$$Per(T_{n+1}^{(2)}) = \sum_{r=0}^{\left\lfloor \frac{n+2}{2} \right\rfloor} U_{n+1-2r}(x).$$
(12)

Let us write  $Per(T_{n+1}^{(2)})$  by using the Laplace expansion with respect to its first column. Then

$$Per(T_{n+1}^{(2)}) = 2xPer(T_n^{(2)}) + iPer\begin{bmatrix} 0 & i & & & 0\\ 2x & 0 & i & & & \\ i & 0 & 2x & 0 & i & \\ & \ddots & \ddots & \ddots & \ddots & \\ & & i & 0 & 2x & 0 & i \\ & & & i & 0 & 2x & 0 \\ 0 & & & & i & 0 & 2x \end{bmatrix}_{n \times n}$$
(13)

Let's expand the permanent that appears in the second term of right hand side of the equality (13) with respect to its first row. So, we have:

$$Per(T_{n+1}^{(2)}) = 2xPer(T_n^{(2)}) + i^2 Per \begin{bmatrix} 2x & i & & & & 0 \\ i & 2x & 0 & i & & & \\ 0 & 0 & 2x & 0 & i & & \\ & i & 0 & 2x & 0 & i & \\ & & \ddots & \ddots & \ddots & \ddots & \ddots & \\ & & & i & 0 & 2x & 0 & i \\ & & & & i & 0 & 2x & 0 \\ 0 & & & & & i & 0 & 2x \end{bmatrix}_{n-1 \times n-1}$$
(14)

If the permanent appears in the second term of right hand side of the (14) is expanded with respect to the its first row, then  $Per(T_{n+1}^{(2)})$  will be equal to

$$2xPer(T_n^{(2)}) - 2xPer(T_{n-2}^{(2)}) - iPer \begin{bmatrix} i & 0 & i & & & \\ 0 & 2x & 0 & i & & \\ 0 & 0 & 2x & 0 & i & & \\ & i & 0 & 2x & 0 & i & \\ & & \ddots & \ddots & \ddots & \ddots & \\ & & i & 0 & 2x & 0 & \\ & & & i & 0 & 2x \end{bmatrix}_{n-2 \times n-2}$$
(15)

Lastly, we expand the permanent that appears in the third term of right hand side of the (15) with respect to its first column. So, we obtain

$$Per(T_{n+1}^{(2)}) = 2xPer(T_n^{(2)}) - 2xPer(T_{n-2}^{(2)}) + Per(T_{n-3}^{(2)}).$$
(16)

We rewrite the equality (16) by using (11),

$$Per(T_{n+1}^{(2)}) = 2x \left( \sum_{r=0}^{\left\lfloor \frac{n+1}{2} \right\rfloor} U_{n-2r}(x) - \sum_{r=0}^{\left\lfloor \frac{n-1}{2} \right\rfloor} U_{n-2-2r}(x) \right) + \sum_{r=0}^{\left\lfloor \frac{n-2}{2} \right\rfloor} U_{n-3-2r}(x)$$
(17)

We have two cases depending on the parity of n. Let n be *odd*. If we arrange the equality (17), then we get the following result (18).

$$Per(T_{n+1}^{(2)}) = U_{n+1}(x) + U_{n-1}(x) + U_{n-3}(x) + \dots + U_0(x)$$

$$= \sum_{r=0}^{\lfloor \frac{n+2}{2} \rfloor} U_{n+1-2r}(x)$$
(18)

It is easy to see that the result (18) is obtained also if *n* is *even*. Thus, the proof is completed.

**Theorem 3:** There is a representation for the Chebyshev U polynomials in terms of the permanent of  $T_n^{(2)}$  as following:

$$U_{n}(x) = Per(T_{n}^{(2)}) - Per(T_{n-2}^{(2)})$$
(19)

where  $Per(T_n^{(2)}) = 0$  for  $n \le 0$ .

Proof: Using Theorem 2, we write that

$$Per\left(T_{n}^{(2)}\right) - Per\left(T_{n-2}^{(2)}\right) = \sum_{r=0}^{\left\lfloor\frac{n+1}{2}\right\rfloor} U_{n-2r}(x) - \sum_{r=0}^{\left\lfloor\frac{n-1}{2}\right\rfloor} U_{n-2-2r}(x) .$$
(20)

If we replace the upper limit of the first summation in the equality (20) with  $\left|\frac{n-1}{2}\right|+1$ . So, the equality (20) will take the form of

$$Per(T_{n}^{(2)}) - Per(T_{n-2}^{(2)}) = U_{n}(x) + \sum_{r=0}^{\left\lfloor \frac{n-1}{2} \right\rfloor} U_{n-2r-2}(x) - \sum_{r=0}^{\left\lfloor \frac{n-1}{2} \right\rfloor} U_{n-2r-2}(x)$$
(21)

such that the right side of (21) is equal to  $U_n(x)$ .

**Conjecture 4:** For  $n \ge 6$ 

$$Per\left(T_{2n}^{(3)}\right) = 1 + 3U_2(x) + 5U_4(x) + \sum_{r=0}^{n-3} (r+1)U_{2n-2r}(x)$$
(22)

and for  $n \ge 7$ 

$$Per(T_{2n+1}^{(3)}) = 2U_1(x) + 4U_3(x) + 6U_5(x) + \sum_{r=0}^{n-3} (r+1)U_{2n+1-2r}(x)$$
(23)

where  $U_n(x)$  is the *n*th Chebyshev U polynomial.

Because of that becomes difficult obtaining of the representations with respect to the Chebyshev U polynomials for each k values of  $Per(T_n^{(k)})$ , the study will continue the following section.

# **2.2.** The Recursive Formulas for $Per(T_n^{(k)})$

In accordance with the Theorem 1, it can be seen that the defining recurrence of the Chebyshev U polynomials, given by (3), is true for  $Per(T_n^{(1)})$ .

**Result 5:** For  $n \ge 2$ 

$$Per(T_n^{(1)}) = 2xPer(T_{n-1}^{(1)}) - Per(T_{n-2}^{(1)})$$
(24)

where  $Per(T_0^{(1)}) = 1$ ,  $Per(T_1^{(1)}) = 2x$ .

Hereby, we should emphasize that also the equality (16), which is a natural result of the Theorem 2, is actually a recursive formula as like (24). This is stated below.

**Result 6:** For  $n \ge 4$ 

$$Per(T_n^{(2)}) = 2xPer(T_{n-1}^{(2)}) - 2xPer(T_{n-3}^{(2)}) + Per(T_{n-4}^{(2)})$$

with initial conditions  $Per(T_0^{(2)}) = 1$ ,  $Per(T_1^{(2)}) = 2x$ ,  $Per(T_2^{(2)}) = 4x^2$  and  $Per(T_3^{(2)}) = 8x^3 - 2x$ .

**Proof:** If the equalities (6) and (19) uses together in the equality (24) then the expression which is numbered in the first section with (16) can be obtained.

**Theorem 7:** If n > 2 is *odd* integer then

$$Per(T_n^{(2)}) = 2xPer(T_{n-1}^{(2)}) - Per(T_{n-2}^{(2)})$$
(25)

is true such that  $Per(T_1^{(2)}) = 2x$ ,  $Per(T_2^{(2)}) = 4x^2$ .

**Proof:** It is easily proven using the induction method by n. Firstly, we must demonstrate the equality (25) is true for n = 3, i.e.

$$Per(T_3^{(2)}) = 2xPer(T_2^{(2)}) - Per(T_1^{(2)}).$$
 (26)

By using the Theorem 2, the left side of the equality (26) is  $\sum_{r=0}^{2} U_{3-2r}(x)$  which yields to  $8x^3 - 2x$ . Likewise, the right side of the equality (26) is  $2x\left(\sum_{r=0}^{1} U_{2-2r}(x)\right) - \sum_{r=0}^{1} U_{1-2r}(x)$  which also yields to  $8x^3 - 2x$ . Thus, it is easy to

see that the both sides of (26) are equal to each other.

Now, assume that the equality (26) holds for n = 2r + 3, i.e.

$$Per(T_{2r+3}^{(2)}) = 2xPer(T_{2r+2}^{(2)}) - Per(T_{2r+1}^{(2)})$$
(27)

where r = 0, 1, 2, ... We will show that the equality (26) also holds for n = 2r + 5. If equality (16) is rewritten by putting 2r + 5 instead of *n*, then

$$Per(T_{2r+5}^{(2)}) = 2xPer(T_{2r+4}^{(2)}) - 2xPer(T_{2r+2}^{(2)}) + Per(T_{2r+1}^{(2)})$$
(28)

is obtained. If the equality (27) into consideration in the equality (28), then

$$Per(T_{2r+5}^{(2)}) = 2xPer(T_{2r+4}^{(2)}) - Per(T_{2r+3}^{(2)})$$

is obtained which is desired.

Now let us demonstrate the generalization we obtained for  $Per(T_n^{(k)})$ . Conjecture 8: If n = 1 + k(r+1) then

$$Per(T_n^{(k)}) = 2xPer(T_{n-1}^{(k)}) - Per(T_{n-2}^{(k)})$$
(29)

where k = 1, 2, 3, ... and r = 0, 1, 2, ...

In examinating the Conjecture 8, firstly the value of k is assignment, then the values of r are assingment.

**Remark 9:** According to the Conjecture 8, it can be clearly stated that  $Per(T_n^{(3)}), Per(T_n^{(4)}), Per(T_n^{(5)}), \dots$  cannot be written in terms of themselves like the recurrence (16) which is emphasized as the Result 6.

Let's explain this remark by giving an example. In the case of k = 3, *n* becomes equal to 3r + 4. So, it can be obtained following equalities for the first few values of *r*:

$$Per(T_4^{(3)}) = 2xPer(T_3^{(3)}) - Per(T_2^{(3)}), \qquad (30)$$

$$Per(T_7^{(3)}) = 2xPer(T_6^{(3)}) - Per(T_5^{(3)}), \qquad (31)$$

$$Per(T_{10}^{(3)}) = 2xPer(T_9^{(3)}) - Per(T_8^{(3)}), \qquad (32)$$

$$Per(T_{13}^{(3)}) = 2xPer(T_{12}^{(3)}) - Per(T_{11}^{(3)}).$$
(33)

None of the terms of these equations (30), (31), (32), (33) is repeated in another equation. So, it's the evidence that the recursive formula which is written for  $Per(T_n^{(3)})$  in terms of itself and is provided for all consecutive *n* values cannot be obtained.

However, a recursive representation for  $Per(T_n^{(k)})$  given below was obtained.

**Conjecture 10:** Let *n* and *k* be the positive integers and  $n \ge 2k + 1$ . Then

$$Per(T_n^{(k)}) = 2xPer(T_{n-1}^{(k)}) - Per\left(T_{n-1-\lfloor \frac{n-1}{k} \rfloor}^{(k-1)}\right) Per\left(T_{\lfloor \frac{n-1}{k} \rfloor}^{(1)}\right)$$
(34)

where k > 1.

Result 11: Taking into account the Conjecture 8 and the Conjecture 10 we get

$$Per(T_{n-2}^{(k)}) = Per\left(T_{n-1-\lfloor \frac{n-1}{k} \rfloor}^{(k-1)}\right) Per\left(T_{\lfloor \frac{n-1}{k} \rfloor}^{(1)}\right)$$
(35)

where n = k(r+1)-1 and r is a positive integer. If the equality (35) is rearranged by taking into the Theorem 1 consideration, we obtain the representation of Chebyshev U polynomials in terms of the permanent of  $Per(T_n^{(k)})$  as follows:

$$U_{r}(x) = \frac{Per(T_{n}^{(k)})}{Per(T_{n-r}^{(k-1)})}$$
(36)

where n = k(r+1)-1 and r is the positive integer and  $U_r(x)$  is the rth Chebyshev U polynomials.

As an example of using the equality (35), let's take the k = 3:

$$Per(T_{3r+2}^{(3)}) = Per(T_{2r+2}^{(2)})Per(T_{r}^{(1)})$$
(37)

So, we get respectively for r = 1, 2, 3, 4.

$$\begin{split} & Per\left(T_{5}^{(3)}\right) = Per\left(T_{4}^{(2)}\right)Per\left(T_{1}^{(1)}\right) \\ \rightarrow \quad & U_{1}(x) = \frac{Per(T_{5}^{(3)})}{Per(T_{4}^{(2)})}, \ Per\left(T_{8}^{(3)}\right) = Per\left(T_{6}^{(2)}\right)Per\left(T_{2}^{(1)}\right) \\ \rightarrow \quad & U_{2}(x) = \frac{Per(T_{8}^{(3)})}{Per(T_{6}^{(2)})}, \ Per\left(T_{11}^{(3)}\right) = Per\left(T_{8}^{(2)}\right)Per\left(T_{3}^{(1)}\right) \\ \rightarrow \quad & U_{3}(x) = \frac{Per(T_{11}^{(3)})}{Per(T_{8}^{(2)})}, \ Per\left(T_{14}^{(3)}\right) = Per\left(T_{10}^{(2)}\right)Per\left(T_{4}^{(1)}\right) \\ \rightarrow \quad & U_{3}(x) = \frac{Per(T_{10}^{(2)})}{Per(T_{10}^{(2)})}. \end{split}$$

### **3.** Conclusions

In this study, it can be seen that the Chebyshev polynomials can be expressed in terms of the permanents of tridiagonal *k*-Toeplitz matrices which are both band and circulant matrices.

Additionally, some recursive relations for the permanent of the certain type of *k*-tridiagonal symmetric Toeplitz matrix as conjectures are given.

It can be seen that the recursive representations obtained in this study are similar to the results in the [19].

As a further study, the representation of  $Per(T_n^{(k)})$  with respect to the Chebyshev polynomials can be generalized for  $k \ge 4$  in the Section 2.1.

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